



08-15-03

Express Mail No. EU313733802US 1756 \$  
Attorney Docket No.: AM-2602.C1

9029

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Jeng H. Hwang et al.

SERIAL NO.: 10/057,674

FILED: January 24, 2002

FOR: MASKING METHODS AND ETCHING SEQUENCES  
FOR PATTERNING ELECTRODES  
OF HIGH DENSITY RAM CAPACITORS

§ GROUP ART UNIT: 1756 # 7

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§ EXAMINER: N. Barreca

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§ Attorney Docket No.:  
§ AM-2602.C1

RECEIVED  
AUG 18 2003  
TC 1700

Date: August 13, 2003

**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicants are submitting the subject Information Disclosure Statement under 37 CFR § 1.97(c). This Information Disclosure Statement is being submitted after the receipt of a first Office Action, but prior to the mailing of a final Office Action or Notice of Allowance in the subject application.

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.10**

I hereby certify that this paper is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as U.S. EXPRESS MAIL NO. EU313733802US in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: August 13, 2003

*Shirley L. Church*

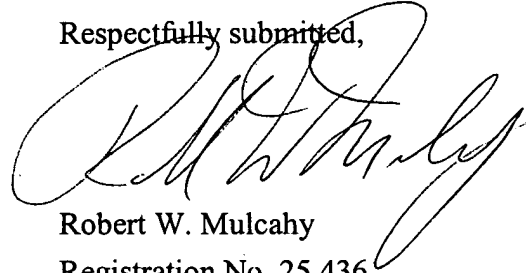
Shirley L. Church, Reg. No. 31,858

The Commissioner is hereby authorized to charge the amount of \$ 180.00, in payment of the fee under 37 CFR § 1.17(p) for late filing of an Information Disclosure Statement, as well as any additional fees which may be due, and to credit any overpayment to Deposit Account 50-1074 of Applied Materials, Inc., Santa Clara, California.

This transmittal letter is submitted in duplicate for accounting purposes.

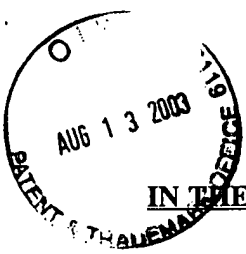
U.S. Express Mail No. EU313733802US  
Docket No. AM-2602.C1

Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read 'R. W. Mulcahy', is written over the typed name and registration number.

Robert W. Mulcahy

Registration No. 25,436



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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(c)**

**Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450**

Sir:

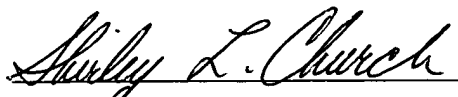
In accordance with 37 CFR § 1.97(c), applicants hereby request consideration of this Information Disclosure Statement. This Information Disclosure Statement is being submitted after the receipt of a first Office Action, but prior to the mailing of a final Office Action or Notice of Allowance in the subject application.

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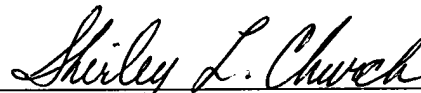
  
Shirley L. Church, Reg. No. 31,858

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The submission of this Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior art" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

If the Examiner has any questions, she is respectfully requested to contact the undersigned attorney at the telephone number set forth below.

Respectfully submitted,

A handwritten signature in cursive script, reading "Shirley L. Church", is written over a horizontal line.

Shirley L. Church  
Registration No. 31,858  
Attorney for Applicants  
(650) 473-9700

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